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RECEIPT  
PATENT APPLICATION  
Docket No.: 1314.1058-001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Timothy P. Tully, Joshua I. Dubnau, Michael Davis, Jan Mous  
and Ulrich Certa

Application No.: 09/523,066

Group Art Unit: 1643

Filed: March 10, 2000

For: GENE CHIP TECHNOLOGY FOR DETERMINING MEMORY GENES

RECEIVED  
AUG 23 2000

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on 8-7-00 Sondra Valitor  
Date Signature  
Sondra Valitor  
Typed or printed name of person signing certificate

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In view of the recently filed Declaration/Power of Attorney, we hereby request that the following items of information be added to the Filing Receipt for the subject application received in this office on July 31, 2000.

In the Applicant(s) portion, after "Timothy P. Tully, Cold Spring Harbor, NY", please add --Joshua I. Dubnau, Huntington Station, NY--.

Enclosed is a copy of the Filing Receipt with changes noted in red, including a copy of the supporting document, namely, the recently filed Declaration/Power of Attorney documents.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in all affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Helen Lee  
Helen Lee  
Registration No.: 39,270  
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Lexington, MA 02421-4799

Date: August 7, 2000

## FILING RECEIPT

  
\*OC00000005270507\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/523,066	03/10/2000	1643	1006	1314.1058-0001	9	26	4

21005  
HAMILTON BROOK SMITH AND REYNOLDS, P.C.  
TWO MILITIA DR  
LEXINGTON, MA 02421-4799

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*AUG 23 2000*  
*REG CENTER 1600/2900*  
Date Mailed: 07/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Timothy P. Tully, Cold Spring Harbor, NY;  
Michael Davis, Stone Mountain, GA;  
Jan Mous, Giebenach, SWITZERLAND;  
Ulrich Certa, Allschwil, SWITZERLAND;

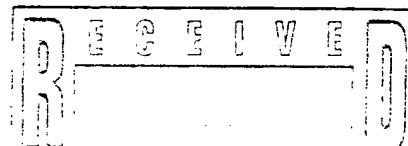
*Joshua I. Dubnau, Huntington Station, NY*

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/124,085 03/10/1999

## Foreign Applications

If Required, Foreign Filing License Granted 05/11/2000



HAMILTON BROOK  
SMITH & REYNOLDS, P.C.

## Title

Gene chip technology for determining memory genes

## Preliminary Class

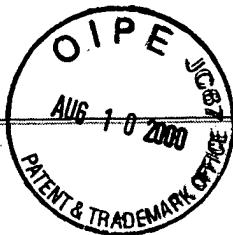
435

Data entry by : DADE, JOAN

Team : OIPE

Date: 07/26/2000





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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Bib Data Sheet



UNITED STATES DEPARTMENT OF  
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Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER 09/523,066	FILING DATE 03/10/2000 RULE	CLASS 435	GROUP ART UNIT 1643	ATTORNEY DOCKET NO. 1314.1058-0001
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**APPLICANTS**

✓ Timothy P. Tully, Cold Spring Harbor, NY ;  
 ✓ Joshua I. Dubnau, Huntington Station, NY ;  
 Michael Davis, Stone Mountain, GA ;  
 Jan Mous, Giebenach, SWITZERLAND ;  
 Ulrich Certa, Allschwil, SWITZERLAND ;

**\*\* CONTINUING DATA \*\*\*\*\***

✓ THIS APPLN CLAIMS BENEFIT OF 60/124,085 03/10/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 05/11/2000**

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NY	9	26	4
Verified and Acknowledged	Examiner's Signature Initials				

**ADDRESS**

21005

**TITLE**

Gene chip technology for determining memory genes

FILING FEE RECEIVED 1006	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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